

# Monthly Stocks Savings Plan Application Form 「股票月供計劃」申請表格

# BOOM

To: **Boom Securities (H.K.) Limited**

(Registered with SFC [CE No AEF 808])

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Settlement Contact: Dusmond Wong

致: **寶盛證券(香港)有限公司**

(證監會註冊交易商 [CE 號碼 AEF808])

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I/We hereby apply for the Monthly Stocks Savings Plan (the "Plan") with the stock(s) selected in "**Table 1: Monthly Investment Amount for Selected Stocks**". I/We confirm the Total Amount of Monthly Investment is/are as below:

本人 / 吾等現申請加入「股票月供計劃」(「計劃」), 亦已於「**附表一: 自選股票每月投資供款**」選定月供股票, 現確定每月的投資供款總額如下:

<b>Hong Kong Stocks</b> 香港股票	<b>Total Amount of Monthly Investment in Table 1<sup>#</sup> (HKD) :</b> 附表一之每月投資供款總額 <sup>#</sup> (港元) :	
<b>US Stocks</b> 美國股票	<b>Total Amount of Monthly Investment in Table 1<sup>#</sup> (USD) :</b> 附表一之每月投資供款總額 <sup>#</sup> (美元) :	

<sup>#</sup> Amount available for share purchase = Monthly Investment Amount – Transaction Fee  
可供購買股票之金額 = 每月投資供款額扣除交易費用

I/We, undersigned, hereby confirm I/we have read, have been explained when requested, and fully understood the attached provisions of "**Monthly Stocks Savings Plan - Terms and Conditions**" (the "provisions"). I/We accept and agree to be bound by the said provisions in full, as it may be amended from time to time.

本人 / 吾等, 下述簽署客戶, 謹此確認本人 / 吾等已閱讀過、得到講解 (如有提問) 及完全明白附上的「**股票月供計劃 - 條款及細則**」內所載的一切條款。本人 / 吾等接受及同意受該等條款 (可不時被修訂) 所約束。

I/We authorize Boom Securities (H.K.) Limited ("BOOM") to debit the Total Amount of Monthly Investment ("Investment Amount") stated above from my/our account with BOOM on the Payment Date.

本人 / 吾等授權寶盛證券(香港)有限公司 (「BOOM」) 於供款日, 自本人 / 吾等的 BOOM 帳戶扣除以上每月投資供款總額 (「供款額」)。

I/We also agree to pay, and authorize BOOM to debit from my/our account with BOOM, the Transaction Fee for unprocessed purchase order(s) as stated in Term 1d. and Term 4c. of the provisions. I/We further understand that the Transaction Fee charged for those unprocessed purchase order(s) is not refundable and BOOM has no responsibility to handle additional transaction outside the Purchase Date to make up for the installment(s) that have left out.

本人 / 吾等並且同意授權 BOOM 自本人 / 吾等的 BOOM 帳戶扣除因條款第 1d. 及第 4c. 所列情況而未能執行的買入指示之交易費用。本人 / 吾等亦明白該等因未能執行交易而被扣除的交易費用一概不予退回, 並且 BOOM 無責任在計劃交易執行日以外替本人 / 吾等作額外交易以補回遺漏的買入指示。

Client's Signature: \_\_\_\_\_

客戶簽署:

(For joint account, both account holders must sign. For corporate account, please affix with company chop.)  
(如屬聯名帳戶, 所有帳戶持有人均須簽署。如屬公司帳戶, 請加蓋公司印鑑。)

BOOM Account Name: \_\_\_\_\_

BOOM 帳戶名稱

BOOM Account No.: BE/CA/CP

BOOM 帳戶號碼

Date: \_\_\_\_\_ (DD/MM/YY)

日期 (日 / 月 / 年)

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**Table 1: Monthly Investment Amount for Selected Stocks**

附表一：自選股票每月投資供款

Hong Kong Stocks 香港股票		
You can select * constituent stocks of Hang Seng Index (HSI), Hang Seng China Enterprise Index (HSCEI), or selected ETFs listed in Hong Kong. 可供選擇*之股票包括香港恆生指數成份股及國企指數成份股或部份香港 ETF。		
Stock Code 股票編號	Stock Name 股票名稱	Monthly Investment Amount* (HKD) 每月投資供款額* (港元)
Total Amount of Monthly Investment (HKD) : 每月投資供款總額 (港元) :		

US Stocks 美國股票		
You can select* high-quality US stocks, or selected ETFs listed in Hong Kong and US. 可供選擇*之股票包括優質美國上市股票或部份美國 ETF。		
Stock Code 股票編號	Stock Name 股票名稱	Monthly Investment Amount* (USD) 每月投資供款額* (美元)
Total Amount of Monthly Investment (USD) : 每月投資供款總額 (美元) :		

\* For more information about the stock selections, please visit BOOM website → Multi-Market Stock Trading → Stock Saving Plan. If you want to know whether other stock is also available for the saving plan, please enquire our customer service.  
 如要查詢可供選擇之股票，可到 BOOM 主頁 → 服務概覽 → 多市場股票交易 → 股票月供計劃裏查詢。倘若您想瞭解其他股票可否作為供股選擇，請諮詢我們的客戶服務主任。

# Amount available for share purchase = Monthly Investment Amount – Transaction Fee  
 可供購買股票之金額 = 每月投資供款額扣除交易費用

**Monthly Stocks Savings Plan (the “Plan”) – Terms and Conditions**  
**「股票月供計劃」（「計劃」）- 條款及細則**

**1. Payment**  
**供款**

- a. The minimum monthly investment amount for each securities will be such amount as specified by BOOM from time to time which shall be inclusive of the Fixed Transaction Fee (“Transaction Fee”) payable under the Plan.  
每種證券的最低每月投資供款額由 BOOM 不時釐定，其中已包括本計劃規定支付的固定交易費用（「交易費用」）。
- b. The gross monthly investment amount for all securities, i.e. the Total Monthly Investment Amount (“Investment Amount”), will be deducted from your BOOM account on the 5th of each month (“Payment Date”). If such day is not a business day, Payment Date will fall on the next business day.  
綜合所有證券而計算的每月投資供款總額（「供款額」），將在每月第五日（「供款日」）直接從閣下的 BOOM 帳戶內扣除。若該日並非營業日，則有關供款日將順延至下一個營業日。
- c. You shall ensure that there is sufficient cash balance in your BOOM account to cover the required Investment Amount on the Payment Date. No monthly reminder will be sent to you before your account is deducted.  
閣下須確保閣下的 BOOM 帳戶在供款日當天備有足夠的現金結餘用以支付所需的供款額。BOOM 每月從閣下的 BOOM 帳戶扣數時，不會預先發出付款備忘通知。
- d. If Investment Amount of the month could not be deducted from your BOOM account on the Payment Date, BOOM will not execute the purchase order(s) for that particular month, but the respective Transaction Fee will still be applied and deducted from your BOOM account.  
如在供款日當天未能成功從閣下的 BOOM 帳戶扣取該月份的供款額，BOOM 將不會為閣下執行該月份的買入指示，但有關的交易費用仍然會從閣下的 BOOM 帳戶內扣除。
- e. BOOM reserves the right from time to time to specify any other date as the Payment Date.  
BOOM 保留權利指定其他日期作為供款日。

**2. Transaction**  
**執行交易**

- a. For Hong Kong stocks, BOOM will purchase shares on behalf of all customers of the Plan on the 2nd Hong Kong stock trading day after the Payment Date (“Purchase Date”).  
如為香港證券，BOOM 會於供款日後的第二個港股交易日（「交易執行日」），代所有參與計劃的客戶買入港股。
- b. For US stocks, BOOM will purchase shares on behalf of all customers of the Plan on the 2nd US stock trading day after the Payment Date (“Purchase Date”).  
如為美國證券，BOOM 會於供款日後的第二個美股交易日（「交易執行日」），代所有參與計劃的客戶買入美股。
- c. If trading in your selected stock is suspended on any of the Purchase Date, the relevant Investment Amount deducted will be applied to purchase shares of the stock as soon as reasonably practicable once the suspension has been lifted. If the stock purchase cannot be executed due to suspension or market conditions for the following two weeks, BOOM will refund the full Investment Amount (including Transaction Fee) to your BOOM account by the end of the month.  
若於交易執行日當日閣下所選的股票被暫停買賣，有關供款額將於該隻股票恢復買賣後，於合理地切實可行的時間內被用作買入該隻股票。若該股票於其後的兩星期繼續暫停買賣或因市場環境未能買入，BOOM 會將供款額（連同交易費用）於當月月底前全數退回到閣下的帳戶。

**3. Shares Purchase and Allocation**  
**買入及分配股份**

- a. The average price for all shares purchased will be used  
將以股份整體平均買入價作準計算。
- b. The net amount (i.e. Investment Amount minus Transaction Fee) will be used for purchasing the relevant stock selected by you.  
扣除交易費用後的供款額，將用以購入閣下的自選股票。
- c. The number of shares to be allocated to you will be rounded down to the nearest whole number of shares  
閣下所獲分配的股份數目，將調低至最接近的整數股數。
- d. The purchased shares will be deposited into your BOOM account on the Purchase Date.  
BOOM 會於交易執行日，把購入的股份存入閣下的 BOOM 帳戶內。

**4. Plan Adjustment or Termination**  
**更改或終止計劃**

- a. Any adjustment of the Investment Amount or the selected stock(s) of the Plan or termination of the Plan, you are required to give written instruction to BOOM at least 3 business days before the next Payment Date.  
欲調整供款額、更改計劃中的自選股票組合或申請終止計劃，閣下須於下一個供款日最少三個營業日前，以書面形式向 BOOM 發出通知。

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- b. If BOOM is unable to deduct the required Investment Amount from your BOOM account for two consecutive months, BOOM will terminate the Plan without prior notice.

如 BOOM 連續兩個月未能成功從閣下的 BOOM 帳戶扣取有關供款額，BOOM 有權即時終止有關計劃而不作任何通知。

- c. Once your application is accepted, you must complete at least three consecutive installments. If the Plan is terminated before that three installments are completed, either terminated by you or terminated by BOOM due to insufficient fund to cover the required Investment Amount for two consecutive months, BOOM will deduct the relevant Transaction Fee of the unprocessed purchase order(s) of that three installments from your BOOM account.

當我們接受閣下的申請後，閣下必須最少完成首三期供款。如在該三期供款完成前，閣下申請終止計劃或因連續兩期無法扣取供款而被 BOOM 終止計劃，BOOM 會從閣下的 BOOM 帳戶扣除該三期內未能執行交易的有關交易費用。

- d. BOOM may terminate the Plan or the use of the Plan (as the case may be) at any time on the giving of not less than 3 business days prior notice to you.

BOOM 可以在向閣下發出不少於三個營業日的通知後，隨時終止此計劃或終止使用此計劃（視乎情況而定）。

- e. Any termination shall not affect the relevant rights and obligations of you and BOOM accrued prior to such termination

終止計劃將不影響閣下及 BOOM 在終止計劃前擁有的相關權利及責任。

## 5. Sales of Shares

### 沽出股份

- a. If you sell any shares in your BOOM account (including shares purchased from the Plan), regardless of whether the Plan is terminated, BOOM will impose the normal stock trading fees, including the brokerage commission at normal rate and other relevant charges, on the selling transactions

如閣下沽出 BOOM 帳戶內任何股票（包括經此計劃買入的股票），無論此計劃已被終止與否，BOOM 會就有關沽出交易收取一般股票交易費用，包括一般交易佣金及其他相關費用。

- b. The number of shares purchased under the Plan is likely to be less than one board lot. Shares of less than one board lot are regarded as odd lot. Odd lots are normally sold at a discounted price compared to the prevailing market price for board lot.

通過此計劃購入的股份很大機會為不足一手的碎股，而沽出碎股的作價，通常較市價有若干程度的折讓。

## 6. Risk Disclosure

### 風險聲明

- a. The prices of securities may fluctuate, sometimes dramatically. The price of securities may move up or down, and may become valueless. It is possible that losses will be incurred rather than profits made as a result of buying and selling securities.

證券價格有時可能非常波動。證券價格可升可跌，甚至可能變得毫無價值。買賣證券未必一定能夠賺取利潤，反而可能會招致損失。

- b. The Plan does not assure a profit, nor does it protect against loss in declining markets, or against a loss if you terminate the Plan when the value of your shares is less than the cost of the shares you purchased.

此計劃並不能保證必會取得回報，且在市況普遍下跌時，並不一定可避免損失。如閣下終止此計劃，閣下須承擔股票價格可能低於購入成本而招致之虧損。

- c. BOOM is not acting as your investment adviser and where necessary, you shall seek your own independent professional advice before making any investment decision.

BOOM 並非作為閣下的投資顧問，若有需要，閣下在作出任何投資前，必須尋找獨立專業意見。

## 7. Others

### 其他

- a. BOOM reserves the right to reject or cancel your purchase order either in whole or in part without any prior notice or assigning any reason therefore

BOOM 有權拒絕或取消全部或部份買入指示而無須給予通知或解釋。

- b. BOOM reserves the right to amend and/or withhold the terms and conditions of the above at its discretion.

BOOM 有酌情權不時修訂或刪除上述條款及細則的任何條款。

- c. If there is inconsistency between the English version and the Chinese version, the English version shall prevail.

本文件之英文/中文版本如出現歧異，概以英文版本為準。