

FUTURES ACCOUNT APPLICATION 申請期貨交易帳戶

(For Existing Individual / Joint Securities Trading Account Holder(s))
現有個人 / 聯名證券交易帳戶持有人適用

Return ORIGINAL Form
請交回此申請表正本

BOOM Account Name
BOOM 帳戶名稱

Type of Account (Please ✓ one) ☐ Individual 個人帳戶
帳戶類別 (請選擇一項) ☐ Joint 聯名帳戶

Client Name (Second Joint Account Holder)
客戶姓名 (聯名帳戶第二持有人)

BOOM Account No.
BOOM 帳戶號碼

For existing **Individual / Joint Securities Trading Account Holder(s)** to add Futures Trading Account, please
現有的 **個人聯名證券帳戶持有人**，如欲申請增加期貨交易帳戶，請

- 1) **sign** this form; and 2) complete the **Individual Client Information Form**.
1) **簽署** 本申請表；及 2) 填寫 **個人客戶資料表格**。

Client Declaration and Signature(s) 客戶聲明及簽署

1. Acknowledgement regarding Risk Disclosure Statement 關於風險披露聲明的確認

☐ **For Futures Trading Account Application 申請期貨交易帳戶**

The **Risk Disclosures** as set out in the following Clause(s) of the Monex Boom Securities (H.K.) Limited: **Futures Client Agreement (April 2023 Edition)** ("the Futures Client Agreement") were provided to me/us in a language of my/our choice (English or Chinese) and I/we was/were invited to read, to ask questions and take independent advice if I/we so wish:

- Clause 26 of the Futures Client Agreement.

有關寶盛證券(香港)有限公司：**期貨客戶協議(二零二三年四月版)**(「期貨客戶協議」)內下列條款所列的「**風險披露**」，本人/吾等已獲提供所選擇語言之文本(英文或中文)，並已獲建議閱讀此等「**風險披露**」，提出問題及在有需要時，徵求獨立意見：

- 「期貨客戶協議」內第 26 條。

2. Acknowledgement regarding Accuracy of Information provided 關於提供準確資料的確認

☐ I/We, the undersigned, declare and represent that I/We have examined the information as provided by me/us on the **Individual Client Information Form** are true, correct and complete and that the representations made by me/us are accurate. BOOM is entitled to rely fully on such information and representations for all purposes, unless BOOM receives notice in writing of any change.

本人/吾等同意本人/吾等在「**個人客戶資料表格**」所提供的資料均屬真實及正確。除非 BOOM 收到任何客戶資料改變的書面通知，BOOM 完全可以依靠這些資料及陳述作任何目的。

3. Acknowledgement regarding Use of Information provided 關於使用所提供資料的確認

☐ I/We agree that the personal data in relation to any individual(s) provided to BOOM may be used, disclosed and/or transferred by BOOM for such purposes and to such persons in accordance with BOOM's Privacy Policy on BOOM's website. I/We understand that, I/We may arrange to be excluded from any of BOOM's future promotional mailings, at any time and without charges, by giving notification in writing to BOOM.

本人/吾等同意，本人/吾等向 BOOM 所披露之個人資料，可供 BOOM 進行核對/行政程序，或其他用途根據 BOOM 網站上的個人資料收集聲明。本人/吾等明白，本人/吾等只須致函 BOOM，即可隨時免費選擇不收取 BOOM 以後任何的宣傳郵件。

4. Acknowledgement regarding Joint Account Declaration 關於聯名帳戶聲明的確認 (For Joint Account Application Only 只適用於聯名帳戶)

☐ We, the undersigned, have read, fully understood, accept and agree all provisions of **Joint Accounts** in the **Futures Client Agreement**. We understand that each of us is authorized to give verbal instructions and/or instructions to BOOM in relation to the purchase or sale of futures for the Futures Account from time to time. We also confirm the signing and ownership arrangements of the Futures Account are the same as that previously declared for our BOOM Account (as indicated at the top on the first page of the form).

吾等，下述簽署人士，已閱讀過、完全明白、接受及同意「**期貨客戶協議**」內的「**聯名帳戶**」條款。吾等明白吾等均有權為此期貨帳戶買賣期貨而不時向 BOOM 發出口頭及/或一般指示。吾等同時確認此期貨帳戶的簽名安排及帳戶承繼權安排與先前已開設的 BOOM 帳戶(本表格第一頁頁首所示)相同。

5. Execution of Agreement(s) 同意受協議條款所約束

☐ **For Futures Trading Account Application 申請期貨交易帳戶**

I/We, the undersigned, have read and fully understood the attached provisions of the **Futures Client Agreement**, which have been provided to me/us in a language of my/our choice (English or Chinese). I/We accept and agree to be bound by the Futures Client Agreement in full as it may be amended from time to time.

本人/吾等，下述簽署客戶，已閱讀過及完全明白附上的、由本人/吾等所選擇語言之文本(英文或中文)的「**期貨客戶協議**」之條款。本人/吾等接受及同意受該「**期貨客戶協議**」現時有效及不時修改的條款所約束。

First Applicant (Individual / Joint Account) 第一申請人(個人/聯名帳戶)

Signature 簽署

Date 日期 (YYYY/MM/DD 年/月/日)

Second Applicant (Joint Account) 第二申請人(聯名帳戶)

Signature 簽署

Date 日期 (YYYY/MM/DD 年/月/日)

Declaration by Staff 職員聲明 (A Licensed or Registered Person) (已登記及註冊人士)

For Futures Trading Account Application 申請期貨交易帳戶

I, the undersigned, confirm that the **Risk Disclosures** as set out in Clause 26 of the **Futures Client Agreement** have been provided to the client in a language of one's choice (English and Chinese), and the client was invited to read and ask questions and to take independent advice, if the client so wishes.

本人，下述簽署註冊人士，確認已將申請人所選擇語言之文本（英文或中文）的「期貨客戶協議」內第 26 條所列的「風險披露」提供予申請人。並建議申請人閱讀上述「風險披露」，提出問題及在有需要時，徵求獨立意見。

Name of Staff 職員姓名 (Use block letters 請以正楷填寫)	Signature of Staff 職員簽署	CE No. CE 編號 (Use block letters 請以正楷填寫)	Date 日期 (YYYY/MM/DD 年/月/日)
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For Official Use Only 只供本行使用

BOOM A/C No.
BOOM 帳戶號碼

Futures A/C No.
期貨帳戶號碼

☐ Futures Client Agreement have been sent to the Client
已將「期貨客戶協議」交予客戶

Name of Handling Staff 負責職員姓名 (Use block letters 請以正楷填寫)	Signature of Handling Staff 負責職員簽署	Date 日期 (YYYY/MM/DD 年/月/日)
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Reviewed by 核對資料		System Setup by 設定系統	
Name of Functional Head (Futures) 部門主管（期貨）姓名 (Use block letters 請以正楷填寫)	Signature 簽署 Date 日期 (YYYY/MM/DD 年/月/日)	Name of Staff 職員姓名 (Use block letters 請以正楷填寫)	Signature 簽署 Date 日期 (YYYY/MM/DD 年/月/日)